BEFORE THE IOWA BOARD OF PSYCHOLOGY

In the Matter of:)	Case No. 13-002
)	
JOHN WILLIAMS,)	STIPULATION AND
)	CONSENT ORDER
RESPONDENT.)	

Pursuant to Iowa Code sections 17A.10, 272C.3(4) (2013) and 645 Iowa

Administrative Code section 12.1, the Iowa Board of Psychology (hereinafter, "Board")

and John E. Williams (hereinafter, "Respondent"), enter into the following Stipulation
and Consent Order ("Order") settling a disciplinary proceeding pending before the

Board. In support of this Stipulation and Consent Order, the Board and Respondent
state as follows:

- 1. Respondent was issued Iowa Psychology license # 00960 on November 18, 2003, which next expires on June 30, 2016. Respondent was issued Iowa Health Service Provider license # 000498 on June 2, 2008, which next expires on June 30, 2016.
- 2. The Board has jurisdiction over the parties and jurisdiction over the subject matter of these proceedings.

- 3. On or about October 8, 2014, the Board found probable cause to initiate a case and subsequently issued A Notice of Hearing and Statement of Charges against Respondent.
 - 4. The Notice of Hearing and Statement of Charges contains 5 counts.
- 5. Respondent admits that the Board has enough evidence outlined in the Notice of Hearing and Statement of Charges filed in this case against him to warrant discipline of the Respondent. Because many of the charges involve intoxication, the Respondent cannot readily admit or deny some of the allegations but does not challenge the sufficiency of the evidence. In order to amicably resolve the matter without proceeding to a Hearing, Respondent has agreed to the following terms:
- 6. <u>Suspension</u>. Respondent's license shall be SUSPENDED for two (2) years from the date this Order is approved by the Board. The Board shall review the Respondent's file one (1) year into his suspension. If, at that time, the Board finds that the Respondent is deserving of an early release from his suspension and Respondent has completed all other requirements of this agreement, the Board may grant said early release. The Respondent shall follow the reinstatement requirements outlined in Paragraph #7 below.
- 7. Reinstatement. Following the Suspension period stated in Paragraph #6 above, Respondent shall submit a written application for license reinstatement, pay the required license reactivation fee, and provide evidence of completion of the required

number of continuing education hours for license reactivation, which complies with all of the Board's continuing education standards and requirements for license renewal.

- 8. Probation. Upon satisfactory completion of the requirements outlined in Paragraph #7 above, Respondent's license shall be reinstated and placed on probation for two (2) years from the date the Suspension period is concluded. The period of probation is subject to the conditions outlined in Paragraphs 9 through 12 below.
- 9. Evaluation and Treatment. Within 30 days of the Board's approval of this Agreement and Order, Respondent shall undergo, at his sole expense, a comprehensive psychological and chemical dependency/substance abuse evaluation with a licensed Psychologist/Health Services Provider or a licensed Psychiatrist. Respondent shall submit the name and address of the proposed Evaluator to the Board for approval, and attest that Respondent does not have a personal or professional relationship with the Evaluator. Prior to the evaluation, Respondent shall provide the Evaluator with the Notice of Hearing and Statement of Charges in this matter, this Stipulation and Consent Order, and the Board's investigative file in this matter. Respondent agrees to sign a written release which (a) will enable the Board to freely communicate with individuals involved in Respondent's evaluation, and (b) will provide the Board full access to all records maintained with respect to such evaluation. The Evaluator shall forward an evaluation report directly to the Board. Respondent agrees to comply with any and all recommendations made by the Evaluator. Within 30 days following license reinstatement, Respondent shall have a second comprehensive psychological and

chemical dependency/substance abuse evaluation, following the same criteria of his initial evaluation.

- Respondent shall retain a Practice Monitor, at Respondent's sole expense, to monitor Respondent's practice for the first 12-months of the Probation Period outlined in paragraph 8. The Practice Monitor is subject to Board approval. During this 12-month period, the Practice Monitor will make at least one visit per quarter to Respondent's practice and sample Respondent's records to ensure that proper records are being kept and that all records, notes, and reports are being completed in a professional and timely fashion and that Respondent is maintaining proper boundaries with clients and his sobriety. Respondent agrees to immediately implement any changes and improvements recommended by the Practice Monitor. The Practice Monitor shall periodically make him or herself available to the Board (either by phone or in person) to discuss Respondent's progress and compliance with this Order.
- 11. <u>Continuing Education</u>. Respondent shall take 6 hours of board approved continuing education in ethics, which are in addition to the ethics hours required for license reactivation and license renewal. The ethics hours are due no later than May 1, 2018, and shall include the areas of diversity, gender discrimination and maintenance of boundaries.
- 12. <u>Quarterly Reports</u>. Respondent shall submit quarterly reports to the Board during the probationary period, which shall be due by the 10th of the following

months: September 2017, December 2017, March 2018, June 2018, September 2018, December 2018, and March 2019. The quarterly reports shall summarize Respondent's compliance with Paragraphs 9 through 11 of this Order as applicable, verify continued compliance with all laws and rules applicable to licensed practice, and include any and all steps Respondent has taken to achieve and maintain boundaries with clients and sobriety.

- 13. <u>Civil Penalty</u>. Respondent shall pay a \$1,000.00 civil penalty. This civil penalty shall be paid within ninety (90) days from the Board's approval of this

 Agreement and Order and shall be made payable to the Treasurer of Iowa and mailed to the executive officer of the Board, Sharon Dozier, Bureau of Professional Licensure,

 Lucas State Office Building, 5th Floor, 321 E. 12th, Des Moines, Iowa 50319-0075. The civil penalty payment shall be deposited into the State of Iowa general fund.

 Respondent's license will not be reinstated until the civil penalties assessed in this paragraph are paid in full.
- 14. Respondent's license will not be returned to good standing until the conditions outlined in Paragraphs 6 through 13 are completed.
- 15. By entering into this Order, Respondent voluntarily waives any right to a contested case hearing on the allegations contained in the Notice of Hearing and Statement of Charges and voluntarily waives any objections to the terms of this Order, including the right to appeal.

- 16. This Order is voluntarily submitted by Respondent to the Board for consideration. Respondent agrees that counsel for the State may present this Order to the Board ex parte and/or with Respondent's attorney present.
- 17. This Order is subject to approval of the Board. If the Board fails to approve this Order it shall be of no force or effect to either party.
- 18. This Order shall be part of the Respondent's permanent record and shall be considered by the Board in determining the nature and severity of any discipline to be imposed as a result of future disciplinary proceedings.
- 19. In the event Respondent violates or fails to comply with any of the terms of this Order, the Board may initiate action to revoke or suspend Respondent's license or to impose other licensee discipline in accordance with Iowa Code section 272C.3(2).
- 20. Upon Board approval, this Order shall become a public record available for inspection and copying.
- 21. The Board's approval of this Order shall constitute a FINAL ORDER of the Board.

JE Willin

RESPONDENT

Subscribed and sworn to before me by the above individuals on this 39 day of 1) (1), 2015.



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NOTARY PUBLIC IN AND FOR

THE STATE OF IOWA

This Stipulation and Consent Order is accepted by the Iowa Board of Psychology

on the $\frac{\cancel{S}}{\cancel{C}}$ day of $\frac{\cancel{S}}{\cancel{C}}$, 2015.

CHAIRPERSON, BOARD OF

PSYCHOLOGY

Copies to:

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